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12 [Additional Counsel Continued on Next Page]

13
14 UNITED STATES DISTRICT COURT
15 DISTRICT OF NEVADA

16 WILLIAM A. RICHARDSON.

17 Plaintiff,

18
19 v.

20 OPPENHEIMER & CO., INC.;
OPPENHEIMER HOLDINGS INC.;
21 OPPENHEIMER ASSET
MANAGEMENT, INC., ALBERT
22 LOWENTHAL, ROBERT LOWENTHAL,
GREG WHITE and MARK WEINBERG,

23 Defendants.

Case No. 2:11-cv-02078-GMN
(PAL)

**STIPULATED VOLUNTARY
DISMISSAL WITH PREJUDICE**

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1 J. BRUCE ALVERSON, ESQ.
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2 KARIE N. WILSON, ESQ
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7 Attorneys for Defendants OPPENHEIMER &
CO., INC.; OPPENHEIMER HOLDINGS INC.;
8 OPPENHEIMER ASSET MANAGEMENT,
INC., ALBERT LOWENTHAL, ROBERT
9 LOWENTHAL, GREG WHITE and MARK
WEINBERG

10
11 Pursuant to Federal Rule of Civil Procedure 41(a)(1), Plaintiff William A.
12 Richardson ("Plaintiff") and Defendants Oppenheimer & Co., Inc., Oppenheimer
13 Holdings Inc., Oppenheimer Asset Management, Inc., Albert Lowenthal, Robert
14 Lowenthal, Greg White and Mark Weinberg ("Defendants"), by and through their
15 respective counsel of record, stipulate and request the Court to order as follows:

16 1. That the Court enter a final judgment dismissing this suit with
17 prejudice, with the judgment not affecting the right of Plaintiff or Defendants to
18 enforce the confidential Settlement Agreement between them or waiving any rights
19 available to them under the Settlement Agreement, which the Parties agree has been
20 duly executed and is fully enforceable; and

21 2. That each party shall bear their own respective costs and attorneys'
22 fees.
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2 Dated: December 30, 2015

PETER A. STOKES
NORTON ROSE FULBRIGHT US LLP

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4
5 By: /s/ Peter A. Stokes
Peter A. Stokes

6 Attorney for Defendants OPPENHEIMER &
7 CO., INC.; OPPENHEIMER HOLDINGS INC.;
8 OPPENHEIMER ASSET MANAGEMENT,
9 INC., ALBERT LOWENTHAL, ROBERT
LOWENTHAL, GREG WHITE and MARK
WEINBERG

10 Dated: December 30, 2015

11 DAVID Z. CHESNOFF
CHESNOFF & SCHONFELD

12 By: /z/ David Z. Chesnoff
13 David Z. Chesnoff

14 Attorney for Plaintiff WILLIAM A.
15 RICHARDSON
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CERTIFICATE OF SERVICE

I certify that I filed a copy of this document through the ECF system on December 30, 2015, which caused electronic service on all counsel of record.

/s/ Peter A. Stokes

Peter A. Stokes

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18 CO., INC.; OPPENHEIMER HOLDINGS INC.;
OPPENHEIMER ASSET MANAGEMENT, INC.,
19 ALBERT LOWENTHAL, ROBERT
LOWENTHAL, GREG WHITE and MARK
20 WEINBERG

21
22 UNITED STATES DISTRICT COURT
23 DISTRICT OF NEVADA

24 WILLIAM A. RICHARDSON.

25 Plaintiff,

26 v.

27 OPPENHEIMER & CO., INC.;
28 OPPENHEIMER HOLDINGS INC.;
OPPENHEIMER ASSET

Case No. 2:11-cv-02078-GMN
(PAL)

FINAL JUDGMENT

1 MANAGEMENT, INC., ALBERT
2 LOWENTHAL, ROBERT LOWENTHAL,
3 GREG WHITE and MARK WEINBERG,

4
5 Defendants.

6 Pursuant to Federal Rule of Civil Procedure 41(a)(1) and Plaintiff's
7 Stipulated Voluntary Dismissal With Prejudice, the Court orders as follows:

8 1. This suit is dismissed with prejudice.

9 2. This judgment shall not affect the right of Plaintiff William A.
10 Richardson ("Plaintiff") and Defendants Oppenheimer & Co., Inc., Oppenheimer
11 Holdings Inc., Oppenheimer Asset Management, Inc., Albert Lowenthal, Robert
12 Lowenthal, Greg White and Mark Weinberg ("Defendants") to enforce the
13 confidential Settlement Agreement between them and shall not operate to waive
14 any rights available to Plaintiff or Defendants under the Settlement Agreement,
15 which the Parties agree has been duly executed and is fully enforceable.

16 3. Each party shall bear their own respective costs and attorneys' fees.

17 4. This is a final judgment.

18 SIGNED this 31 day of December, 2015

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21 UNITED STATES DISTRICT JUDGE
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